## PRABHAT FINANCIAL SERVICES LIMITED

Member

DP

National Stock Exchange of India Limited, Bombay Stock Exchange Limited SEBI REGN. NO. INB 230885231, INF 230885231, INB 010885235, INE 230885231 Central Depository Services (India) Limited. SEBI REGN NO. IN-DP-CDSL-216-2003



Corporate Office: 205, Navjeevan Complex, 29 Station Road, JAIPUR-302006 Phone: +91-141-4162021-22-23-24 Fax: +91-141-4162050 Mobile: 93148-84111 E-mail: pfslindia@hotmail.com: Website: www.pfslindia.co.in

## Our Policy regarding Unauthenticated news circulated through various modes of communication.

- Our managerial staff, employees, dealers, temporary staff and Authorised person etc. will not
  encourage or circulate rumors or unverified information through email, SMS, Blogs, Chat forums,
  Messenger sites, printed material, any audio/visual medium and print and electronic media in
  connection with stock market and depository operations of Stock Exchanges/Depositories and in
  connection with Prabhat Financial Services Limited being a SEBI registered intermediary.
- 2. Our managerial staff, employees, dealers, temporary staff and Authorised person etc. will forward all kind of market news received by them in their official or personal capacity for approval of the Compliance Officer of the company before onward transmission/communication to the clients and other related parties dealing with Prabhat Financial Services Limited. If any one of our managerial staff, employees, dealers, temporary staff and Authorised person etc. fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc.
- Our managerial staff, employees, dealers, temporary staff and Authorised person etc. will obtain
  prior approval of Compliance Officer of the company before circulation of any news in
  connection with stock market and depository operations by using company's/ personal mode of
  communication.
- Compliance Officer of the company will examine and approve use of mode of communication as per requirement of concerned user as mentioned above.

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